

ANNUAL PROGRAMME REPORT

FM14-21

Portugal

PT-ENVIRONMENT Environment, Climate Change and Low Carbon Economy

2019

A. EXECUTIVE SUMMARY

(i) Main results during 2019:

Programme Agreement approved and signed on the 27th of May 2019 (Contract between National Focal Point and Programme Operator signed on the 29th of May 2019 during kick-off event of the Programme).

Two of out three of the pre-defined projects approved and signed (on the 6th of August and on the 18th of December 2019)

From seven open calls, six were approved and were launched during the year: three on the 18th of July; one in 5th of September; one in 30th of November and the sixth on the 2nd of December 2019.

Communication plan were approved on the 18th of January 2019.

Bilateral relations: A Work Plan between PT Environment Programme and Innovation Norway was established and approved on the 16th of May.

(ii) Programme Operator considers that the Programme it is a good progress, keeping the estimated calendar and results.

(iii) Programme Operator learned that communication with potential applicants is relevant and prevent several constraints in the submission period; React immediately to the submission problems was well succeeded; Meetings with our PO and NFP for sharing problems and doubts was very useful and Continuous contact with DPP (Innovation Norway) is very valuable.

(iv) The visibility of the Programme and of the Donors can be assessed by the high demand for applicants: the first call and the two small grant schemes that closed on the 31st of October had 54 projects submitted. From these 54 potential projects, 12 projects had partners from Donor Countries.

(v) Programme Operator considers that the overall risk of the programme **low**, a Very Unlikely likelihood of occurrence and Minimal consequence for programme outcomes. Nevertheless, special attention is given to 'Systems to monitor, measure and communicate results of the Programme' as well as for 'Improper or poorly defined procedures or excessively burdensome controls'. Programme Operator is going to reinforce procedures and mitigation actions to prevent damages in the Programme's implementation.

B. PERFORMANCE

1. Results

Bilateral Outcome: Enhanced collaboration between beneficiary and donor state entities involved in the programme

Outcome 1: Increased application of circular economy principles in targeted sectors

[Description and analysis of results [applicable from the second APR submitted]

Not applicable in the first APR.

Outcome 2: Enhanced sustainable development in Biosphere Reserves

[Description and analysis of results [applicable from the second APR submitted]

Not applicable in the first APR.

Outcome 3: Increased climate change resilience and responsiveness in targeted areas

[Description and analysis of results [applicable from the second APR submitted]

Not applicable in the first APR.

Bilateral Outcome: Enhanced collaboration between beneficiary and donor state entities involved in the programme

Analysis of bilateral relations and achievements

PT Environment Programme Donor Programme Partner is Innovation Norway. On the 16th of May 2019 they established a Work Plan.

Bilateral ambitions: On the Concept Note submitted on the 20th of August 2018 and approved on the 1st of October 2018, is established that the 'Environment Programme' aims at enhancing the bilateral cooperation between the donor states and Portugal the programme will work towards this aim as a whole and at project level. The programme operator and the Donor Programme Partner (DPP) will explore possible initiatives in line with programme areas 11 and 13 that may go beyond the specific outcomes of the programme. These should seek to strengthen bilateral cooperation in the longer term and may be mainstreamed with other strategic processes.

Innovation Norway is designated as DPP in the Environment Programme. As DPP, Innovation Norway has been actively involved in the preparation of the Concept Note. Further, the DPP will be instrumental in the implementation phase. The programme has established a Cooperation Committee (CC) in line with Art. 4.4 of the EEA Grants Regulation to provide expert advice on progress and implementation of the programme. The CC shall agree on the use of the bilateral allocation; for this programme being €100,000 is set aside in the MoU. The programme may request further funding from the Joint Committee for the National Bilateral Fund. Given the broad technical scope of the programme, the DPP will liaise with relevant donor state institutions as needed e.g. in connection with study visits funded by the programme's bilateral allocation in the MoU.

The DPP will facilitate donor project partnerships and contribute towards matchmaking and dissemination of information on the programme. The DPP will also give advice on call texts and will be a voting member in the selection procedures.

The Norwegian Directorate for Civil Protection is designated as donor project partner in the pre-defined project (PDP) for the Rio Ceira river basin.

This Bilateral Plan takes into consideration:

- the provisions of the Regulation on the implementation of the European Economic Area Financial Mechanism 2014-2021;
- the provisions from applicable Community and National regulations, including in environmental legislation, public procurement legislation and State aid legislation, and
- the provisions of Fund for Bilateral Relations Manual adopted by the National Focal Point of the EEAFM 2014-2021 on March 3rd, 2019. This Manual aims to support Programme Operators and the beneficiaries of the EEAFM 2014-2021 in the implementation of the FBR, namely in clarifying concepts and specifying rules and procedures.

These provisions shall prevail in all matters not set out in this Bilateral Plan.

Main Activities: The Environment Programme foresees several initiatives of bilateral cooperation, namely matchmaking events, to be held in 2019 and 2020, study visits and thematic missions to exchange experiences between Portugal and the donor countries in two different levels: at institutional level between Programme Operators from Environment, Climate Change and Energy domain and specific missions at Project Partners' Level.

During the year 2019, the bilateral main results were the following:

Bilateral Activity	Participants*/ Stakeholders	Venue/ Indicative Timing	Expenses Total Amount	Deliverables / Outputs
Matchmaking events				
Circular Economy (a)	Construction Sector Value of Chain Plastic: Deposit – return Schemes Solutions (Beverages) and Marine Litter Reduction companies (SME and Startups); Sectorial associations; Municipalities	Lisbon 28/02/2019	€14.327,87	Minutes of the meeting e_book – 'Environment Programme in Action' in website Youtube record / Presentations in the website / news Share List of participants/ networking Sharing Knowledge and experiences

Circular Economy	Innovation Week in Oslo	Oslo September 2019	+9 companies (max)*€600 (lump sum) +€2.000 fee + PO travels costs= €8.000	Presentations in the website / news Share List of participants/ networking Sharing Knowledge and experiences
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PO participates on a Mission organised by the NFP to Iceland Entities from 2-05/06/2019.

PO and DPP had two cooperation committees (13th May 2019 and 5th December 2019).

2. Implementation

Conformity with specific requirements

Conformity with specific requirements

PT Environment Programme highlights the followings topics that were taken into consideration during the year 2019:

1) During the implementation phase PT environment Programme shall seek to ensure synergies with Blue Growth Innovation and SMEs Programme implemented in Portugal through the EEA Financial Mechanism 2014-2021: SGS#1 - [Small Grants Scheme #1 – Projects to prevent and raise awareness to reduce marine litter](#) in this open call was intended to: ‘This Programme supports initiatives aimed at preventing the production of Marine Litter, particularly in the case of plastics, in synergy with the EEA Grants 'Blue Growth' programme, coordinated by the Directorate General for Maritime Policy (DGPM) of the Ministry of the Sea, which will support SMEs in business development and innovation with an impact on the reduction of marine litter. The projects selected under this Call for Proposals will contribute to the implementation of Outcome 1 of the Programme: “Increased application of circular economy principles in targeted sectors” and Output 1.3 of the Programme, through promotion of the Circular Economy by “Reduced contribution from land-based sources of marine plastic littering”, which indicators and targets are listed on the table below, in accordance with Annex I of the Programme Agreement signed on 27 May 2019.’

2) Pre-eligibility: no costs shall be eligible under pre-defined project nº3 (number under section 5.1 of Annex II to the Programme Agreement) before a detailed description and budget for the respective pre-defined project, including the role and contribution of any project partner(s) has been submitted by the Programme Operator and the FMC has confirmed the grant to the project as described in Annex II to this Programme Agreement. On 7th of August the PO send the detailed description and the budget of the Pre-defined project Contract (PDP-3) Rio Ceira,

and the Annex 'PDP - 3 EEA Grants_Calendar and Budget final' for FMO's assessment; on the 8th of October the pre-eligibility condition has been lifted to our Programme Committee by the FMO; on the 15th of October the FMO Programme Committee approved the pre-eligibility condition based on your submitted information and budgets, and additional rounds of clarification and on the 15th of October the PO positively appraised the PDP 3, in line with Article 6.5.3 of the Regulation, by verifying its quality and contribution to the objectives of the Environment Programme, as well as compliance with EU and national legislation.

3) Regarding special rules on eligibility of costs: costs are eligible in accordance with chapter 8 of the Regulation.

4) PDP-1: Partner from Donor Countries (Norwegian Environment Agency (NEA) replies to PO's email on 18th of February 2019 with the following: *'At the moment, we are not able to participate as a donor project partner in this project, but it is possible for my colleague Christoffer Back Vestli to assist you on general questions regarding our regulation or our collection system, as indicated during your visit'*. **Mette Follestad**
Head of section, Section for Waste and Recovery

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For that reason, the Project Promotor (APA), PO, NFP and FMO assumes that PDP-1 has no formal Partner form Donor Countries.

Cooperation with International Partner Organisations (IPOs)

Not applicable.

Cooperation with International Partner Organisations (IPOs)

Not applicable.

C. LEARNING

1. Monitoring

In this first APR PT Environment Programme presents the Monitoring Plan in Annex 5.

2. Evaluation

Evaluation [applicable from the first APR after the evaluation was carried out]

PT Environment Programme Agreement was signed on the 27th of May 2019 and the Contract between NFP and PO on the 29th of May 2019. The first open calls were on the 18th of July until 31st of October 2019. We are now finishing the evaluation phase. Therefore, PO didn't have any evaluation.

However, NFP and PO had two technical meetings for state of play and checklist of the implementation of the Programme (in July 2019 and December 2019) and with no or minor recommendations, such as 'pay attention and not to postpone the payments to the beneficiaries'.

Also, we are in close contact with NFP in specific matters like some doubts regarding Call#4: eligible applicants and mandatory partners and the need of clarification of the PA, that was approved by FMO.

PDP-1 'Strengthened framework for the management of plastic packing including a deposit-refund system for plastic bottles' Output 1.2: role of partners from DPP;

PDP-2 'Vulnerability Assessment to Climate Change updated for territorial focus' Output 3.2: detail the budget by partner and activity; role of partners from DPP;

and PDP-3 'Management of the Rio Ceira River Basin adapted to a changing climate' Output 3.4: detail the budget by partner and activity and the methodology of the overheads.

All the doubts were eliminated, clarified and solved.

3. Lessons learned

Communication with potential applicants is relevant and prevent several constraints in the submission period: planned the response and listen carefully all the stakeholders has very good results; need of the applicants read carefully the instructions eliminates most of the doubts (PO start insist on this topic with good results).

Not close more than one Call at the same time (same day and hour): is it more demanding for the EEA Grants team to evaluate the admission and eligibility of the applicants.

Anticipate potential problems and test several times the platform submission.

React immediately to the submission problems was well succeeded.

Meetings with our PO and NFP for sharing problems and doubts was very useful.

Continuous contact with DPP (Innovation Norway) is very valuable.

D. ANNEXES

1. Updated results (indicator achievements)

2. Communication

3. Overview of contracted projects

4. Risk management

5. Monitoring plan

6. Evaluation report

7. List of individual mobilities

Annex 1: Updated results (indicator achievements)

Objective: Improved environmental status in ecosystems and reduced adverse effects of pollution and other human activities								
Outcome 1: Increased application of circular economy principles in targeted sectors								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Construction and demolition waste avoided by the supported sectors	Percentage	48.60 %	-	-	-	-	70.00 %	-
Use of secondary materials increased in the supported sectors	Percentage	-	-	-	-	-	15.00 %	-
Number of jobs created	Number	0	-	-	-	-	25	-
<i>Gender</i>								
<i>Female</i>	-	-	-	-	-	-	-	-
<i>Male</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-
<i>Age</i>								
<i>Children and youth (0-17)</i>	-	-	-	-	-	-	-	-
<i>Young adults (18-29)</i>	-	-	-	-	-	-	-	-
<i>Adults (30-64)</i>	-	-	-	-	-	-	-	-
<i>Elderly (65+)</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-
Tonnes of plastic recycled through all supported schemes/measures	Number	0	-	-	-	-	20,000	-
Output 1.1: Deposit-refund system for beverage plastic bottles (and cans) piloted								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		

Number of retailers participating in the deposit-refund system	Number	0	-	-	-	-	25	-
Number of beverage industry organisations participating in the deposit-refund system	Number	0	-	-	-	-	2	-
Output 1.2: Strengthened framework for the management of plastic packing including a deposit-refund system for plastic bottles								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Regulation governing the deposit-refund system developed	Binary	No	-	-	-	-	Yes	-
Output 1.3: Reduced contribution from land-based sources of marine plastic littering								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Number of voluntary schemes supported	Number	0	-	-	-	-	3	-
Number of CSOs supported	Number	0	-	-	-	-	3	-
Number of awareness-raising campaigns carried out	Number	0	-	-	-	-	3	-
Output 1.4: Increased resource efficiency in the construction sector								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Number of innovative solutions for increased resource efficiency piloted	Number	0	-	-	-	-	5	-
Number of SMEs supported	Number	0	-	-	-	-	5	-
Number of demonstration buildings constructed	Number	0	-	-	-	-	3	-

Output 1.5: Standards, guidance and declarations developed								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Number of businesses developing product declarations	Number	0	-	-	-	-	10	-
Number of standards/best practices developed	Number	0	-	-	-	-	5	-
Outcome 2: Enhanced sustainable development in Biosphere Reserves								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Number of people benefitting from enhanced sustainable development in Biosphere Reserves	Number	0	-	-	-	-	314,967	-
Output 2.1: Increased capacity to manage biosphere reserves								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Number of sustainable development plans for Biosphere Reserves developed	Number	0	-	-	-	-	11	-
Number of Biosphere Reserves where measures have been implemented	Number	0	-	-	-	-	6	-
Number of professional staff trained	Number	0	-	-	-	-	22	-
<i>Gender</i>								
<i>Female</i>	-	-	-	-	-	-	-	-
<i>Male</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-
Outcome 3: Increased climate change resilience and responsiveness in targeted areas								

Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Number of people benefitting from the development of adaptation strategies	Number	0	-	-	-	-	2,600,000	-
Number of people benefitting from the implementation of mitigation/low-carbon measures	Number	0	-	-	-	-	13,000	-
Estimated annual CO2 emissions reductions (in tons)	Annual number	0	-	-	-	-	43,000	-
Number of jobs created	Number	0	-	-	-	-	10	-
<i>Gender</i>								
<i>Female</i>	-	-	-	-	-	-	-	-
<i>Male</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-
<i>Age</i>								
<i>Children and youth (0-17)</i>	-	-	-	-	-	-	-	-
<i>Young adults (18-29)</i>	-	-	-	-	-	-	-	-
<i>Adults (30-64)</i>	-	-	-	-	-	-	-	-
<i>Elderly (65+)</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-
Percentage of habitat areas, that were damaged by forest fires, recovered in the Rio Ceira River Basin	Percentage	-	-	-	-	-	30.00 %	-
Output 3.1: Strengthened climate change adaptation at local level								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		

Number of targeted municipalities with adaptation plans developed	Number	0	-	-	-	-	30	-
Output 3.2: Vulnerability Assessment to Climate Change updated for territorial focus								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Number of Portuguese territories/regions included in the updated assessment	Number	0	-	-	-	-	5	-
Output 3.3: Low-carbon economy, climate change mitigation and adaptation measures implemented (in targeted urban areas)								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Number of targeted municipalities with mitigation measures implemented	Number	0	-	-	-	-	3	-
Number of innovative mitigation/low-carbon measures supported	Number	0	-	-	-	-	4	-
Output 3.4: Management of the Rio Ceira River Basin adapted to a changing climate								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Flow monitoring programme in place	Binary	No	-	-	-	-	Yes	-
Number of Invasive Alien Species reduced	Number	0	-	-	-	-	5	-
Number of professional staff trained	Number	0	-	-	-	-	1,600	-
<i>Gender</i>								
<i>Female</i>	-	-	-	-	-	-	-	-
<i>Male</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-

Bilateral Outcome: Enhanced collaboration between beneficiary and donor state entities involved in the programme								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Level of trust between cooperating entities in Beneficiary States and Donor States	Scale 1-7	TBD	-	-	-	-	At least 4.5 and an increase on the baseline value	-
<i>State type</i>								
<i>Beneficiary State</i>	-	-	-	-	-	-	-	-
<i>Donor State</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-
Level of satisfaction with the partnership	Scale 1-7	TBD	-	-	-	-	At least 4.5 and an increase on the baseline value	-
<i>State type</i>								
<i>Beneficiary State</i>	-	-	-	-	-	-	-	-
<i>Donor State</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-
Share of cooperating organisations that apply the knowledge acquired from bilateral partnership	Percentage	N/A	-	-	-	-	≥50%	-
<i>State type</i>								
<i>Beneficiary State</i>	-	-	-	-	-	-	-	-
<i>Donor State</i>	-	-	-	-	-	-	-	-

<i>Not specified</i>	-	-	-	-	-	-	-	-
Bilateral Output 1: Cooperation between donor states and beneficiary states entities facilitated								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2019			Target	Comment
				Numerator	Denominator	Value		
Number of training courses co-organised by donor state and beneficiary state entities	Number	0	-	-	-	3	4	Workshop on state aid – 17 October 2017; EEA & Norway Grants Training by the FMO; FINANCE AND GrACE TRAINING 24 May 2019
Number of projects involving cooperation with a donor project partner	Number	0	-	-	-	1	4	PDP-3 (DSB, Norway)
<i>Donor State</i>								
<i>Norway</i>	-	-	-	-	-	1	-	-
<i>Iceland</i>	-	-	-	-	-	0	-	-
<i>Liechtenstein</i>	-	-	-	-	-	0	-	-
<i>Not specified</i>	-	-	-	-	-	0	-	-

Annex 2: Communication summary

a. Visibility of the Grants and the donors

Communication is an integral part of the implementation of the Environment, Climate Change and Low Carbon Economy Programme, which is aimed at ensuring good environmental status of our ecosystems and to promoting the adequate and timely implementation of climate change adaptation and mitigation measures.

The communication related to the Programme will imply concentrating the communication effort on specific targets considered favorable to the satisfaction of the objectives. It will focus in improving public awareness, visibility, provide information, and dissemination of the activities, practices, activities and results to ensure transparency, as an ongoing process. The main guidelines for the Communication Plan will be to:

Increase public **Awareness** and inform the general public about Environment, Climate Change and Low Carbon Economy Programme contribution and role played by the Donors.

Ensure **Visibility** and a coherent picture of Environment, Climate Change and Low Carbon Economy Programme in Portugal and of the Donor States.

Inform potential and actual beneficiaries, as well as potential and actual partners about Environment, Climate Change and Low Carbon Economy Programme opportunities.

Communicate the impact and results of the Programme and Donors' contribution in Portugal.

Concerning our results evaluation, the number of page views of the Environment Programme already surpassed the threshold defined (400) in the Communication Plan. We'll evaluate the number of followers in LinkedIn according to the published contents, 3 published Calls in a daily newspaper, 100% of replies to request for information by email and the organization of 2 events related to EEA Grants – The signing of the Programme Agreement for the Environment Programme and the Matchmaking Initiative on the application of Circular Economy.

b. Communication with the National Focal Point

The participation in the communication network allowed to produce the Communication and Design Manual EEA Grants Portugal 2014 – 2021. The communication meetings with the NFP and all the Programme Operators were very useful to share common issues in order to present effective solutions. The NFP has been proactive promoting training sessions, such as "Writing for digital supports" to develop a common baseline for all Programme Operators regarding contents such as news and calls. The NFP also alerts for the advantages of social media communication to increase public awareness and visibility for the EEA Grants and the Environment Programme.

c. Website and social media

Update of a dedicated website of the project in Portuguese and English - <https://www.eegrants.gov.pt/pt/programas/ambiente/>, <https://www.eegrants.gov.pt/en/programmes/environment/>. Publishing contents in the recently created LinkedIn page for the Secretary General for Environment and Energy Transition

- <https://www.linkedin.com/company/sgambiente/> and a YouTube Channel -
<https://www.youtube.com/channel/UCRGvZ0YzJMRAAOIZ-T0s9EA>.

d. Best practice examples

Concerning the Management of Ceira's River Basin – Project Code PT-ENVIRONMENT-0003-, will at least to:

- a flow monitoring programme in be in place,
- the reduction of invasive alien species will be up to 5 and
- 1600 professionals are expected to be trained.

<https://www.eeagrants.gov.pt/en/programmes/environment/news/visit-to-ceiras-river-basin-in-the-centre-region-of-portugal/>

<https://www.eeagrants.gov.pt/en/programmes/environment/news/norwegian-ambassador-visited-the-ceira-s-river-basin-in-the-central-region-of-portugal/>

e. Multimedia

https://www.youtube.com/watch?v=A56yW3-8BSE&list=PL1vhBOxn0GHeShF-XMpUe1Q90f8N_bkVf&index=1

https://www.youtube.com/watch?v=OWPGLUN_pYs&list=PL1vhBOxn0GHeShF-XMpUe1Q90f8N_bkVf&index=4

https://www.youtube.com/watch?v=sUcBEIAvEtg&list=PL1vhBOxn0GHeShF-XMpUe1Q90f8N_bkVf&index=2

https://www.youtube.com/watch?v=fuGDBcm6mdc&list=PL1vhBOxn0GHeShF-XMpUe1Q90f8N_bkVf&index=3

https://www.youtube.com/watch?v=gBq7u26TE1s&list=PL1vhBOxn0GHeShF-XMpUe1Q90f8N_bkVf&index=5

https://www.youtube.com/watch?v=8t3uKiK-GQc&list=PL1vhBOxn0GHeShF-XMpUe1Q90f8N_bkVf&index=6

Annex 3: Overview of contracted projects

Outcome	Projects	#	# of donor project partners	Amount contracted	% of outcome budget contracted
Outcome 1: Increased application of circular economy principles in targeted sectors	Pre-defined	0	0	€ 0	0.00 %
	Contracted through open calls	0	0	€ 0	0.00 %
	Contracted through small grants scheme	0	0	€ 0	0.00 %
	Total Outcome 1	0	0	€ 0	0.00 %
Outcome 2: Enhanced sustainable development in Biosphere Reserves	Pre-defined	0	0	€ 0	0.00 %
	Contracted through open calls	0	0	€ 0	0.00 %
	Contracted through small grants scheme	0	0	€ 0	0.00 %
	Total Outcome 2	0	0	€ 0	0.00 %
Outcome 3: Increased climate change resilience and responsiveness in targeted areas	Pre-defined	0	0	€ 0	0.00 %
	Contracted through open calls	0	0	€ 0	0.00 %
	Contracted through small grants scheme	0	0	€ 0	0.00 %
	Total Outcome 3	0	0	€ 0	0.00 %
Programme	Total	0	0	€ 0	0.00 %

Annex 4: Risk management

Programmatic risks						
Risk description	Risk related to	Likelihood	Consequence	Risk score	Response type	Risk N/A?
Inadequate Programme Strategy or Processes, in particular:	Both objectives	1	2	1.41	Avoid/Terminate	No
	Description of planned response	Working in close cooperation with FMO, DPP, NFP. - Development of several important guidelines -The detailed description of the management and control system; -The Communication Plan. - Cooperation Committee interaction and meetings. - Taking into account seriously the stakeholders' consultation priorities (18th July 2017).				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
a) Technological issues	Reducing social and economic disparities	2	3	2.45	Mitigate	No
	Description of planned response	Joint effort at National Level to put in place a common IT System for all Programme Operators. A common IT system can harmonise the procedures and workflows, ensure transparency and speed up the response for all relevant entities. If the National IT System is delayed the PO will adopt a internal IT back office system currentelly in development.				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
b) Obtaining permits	Both objectives	2	2	2.00	Accept	No

	Description of planned response	Planning all the steps of the implementation process. Continuous dialogue and work in close cooperation with FMO, DPP, NFP and the Government (Ministry of Finance and Ministry of Environment and Energy Transition).				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
c) Lack of time for proper implementation	Reducing social and economic disparities	1	2	1.41	Mitigate	No
	Description of planned response	Management and Control System of the PO 'Environment Programme' and Information and Communication defined in 'Environment Programme Communication Plan' are crucial to avoid it.				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
Political and Policy issues, namely:	Reducing social and economic disparities	2	2	2.00	Accept	No
	Description of planned response	Alignment of EEA Grants objectives with national priorities towards the application of the principles of the circular economy, by reducing the use of materials and production of waste, in particular, a system of return of plastic bottles and the application of innovation in the construction sector and in the use of building materials; as well as by the promotion of sustainable development of Biosphere Reserves.				
	Description of actual response	Implementation of the planned response				

	Planned future response	Revised if necessary				
a) Influence of policy/legislation	Reducing social and economic disparities	1	1	1.00	Accept	No
	Description of planned response	Mid-term priorities established at EU and at National level shall be observed.				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
b) Political and economic situation on the implementation of the programme	Reducing social and economic disparities	2	2	2.00	Accept	No
	Description of planned response	The application of the Regulation should be supported by the following guiding principles: a) Transparency, responsibility and cost efficiency; b) Good governance and sustainable development; c) Equality of gender and of opportunities. All promoters of projects supported by the 'Environment, Climate Change and Low Carbon Economy' Programme should conduct its procurement procedures fully complying the Code of Public Procurement, extending the obligation mutatis mutandis to all partners of the project.				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
Improper Strategies put in place to reach the Bilateral Objective	Strengthening bilateral relations	1	2	1.41	Mitigate	No

	Description of planned response	Regular meetings of Cooperation Committee and the compliance of its regulation.				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
Operational risks						
Risk description	Risk related to	Likelihood	Consequence	Risk score	Response type	Risk N/A?
Systems to monitor, measure and communicate results of the Programme	Both objectives	2	3	2.45	Avoid/Terminate	No
	Description of planned response	Description management and control systems: Carry out verifications that focus on financial, administrative, technical and physical aspects of the projects/operations; ensure the veracity of the declared expenditure; including procedures to avoid double-financing; project visits may be taken at any time and whenever suspicions arise. Communication Plan will be assessed.				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
Deviations from key fiduciary principles of: economy, efficiency, effectiveness, integrity, openness/ transparency, fairness and accountability	Both objectives	1	3	1.73	Avoid/Terminate	No
	Description of planned response	The detailed description of the management and control systems covering in particular: The systems for verification, audit and monitoring and The system for preventing, mitigating, detecting, reporting on and remedying irregularities.				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
	Both objectives	2	3	2.45	Mitigate	No

Improper or poorly defined procedures or excessively burdensome controls	Description of planned response	Development of several important guidelines such as: - Applicants Guide to the financing of projects supported by environment, climate change and low carbon economy programme' in Portuguese and in English version, that defines the general conditions of access of financing by of projects, in national territory, under the EEA FM 2014-2021'; - The detailed description of the management and control system; - The Communication Plan. The detailed description of the management and control systems.				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
Favouritism, including in selection processes (open calls and public procurements).	Both objectives	1	4	2.00	Avoid/Terminate	No
	Description of planned response	PO may unilaterally terminate the contract in cases: Failure of the promoter of the project or any project partners of the obligations settled in the contract: Failure of the promoter of the project or any project partner of their legal obligations, namely the tax and social security ones; Providing false information on the status of the promoter. All the funds received plus interest, maximum (30) days after notice. Extending the obligation to all partners of the project.				
	Description of actual response	Implementation of the planned response				
	Planned future response	Revised if necessary				
Overall risk of the programme						
		Likelihood	Consequence	Risk score		
OVERALL RISK OF THE PROGRAMME		2	2	2.00		